



Docket No.: 042390.P9844

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David W. Grawfcock

Application No.: 09/751,899

Filed: December 27, 2000

For: A Platform and Method of Creating a Secure
Boot that Enforces Proper User Authentication
and Enforces Hardware Configurations

Examiner: Unassigned

Art Group: Unassigned

REQUEST FOR CORRECTION OF FILING RECEIPT

Attn: Application Division
Assistant Commissioner for Patents
Washington, DC 20231-9998

Dear Sir:

We have received the official Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the U.S. Patent and Trademark Office made a typographical error in the filing date. The receipt indicates the filing date as:

"12/28/00"

As is evidenced by the enclosed copy of the stamped Express Mail receipt, the Filing Receipt should be corrected to read as follows:

"12/27/00".

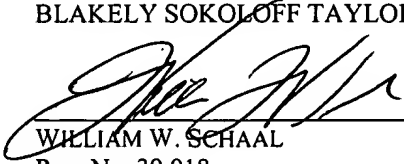
Please correct your records to reflect the correct filing date and send us a corrected filing receipt. Additionally, please note that this application was also given two serial numbers; however, we are informed from the USPTO that the correct serial number is 09/751,899.

If there are any questions regarding this application, please contact the undersigned.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

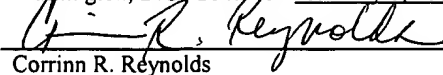
Date: January 8, 2003


WILLIAM W. SCHAAL
Reg. No. 39,018

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(714) 557-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 8, 2003.


Corinn R. Reynolds
Date 1/8/03



FOI/27 Rev #80
2100

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/54,899 757
		Filing Date	December 27, 2000
		First Named Inventor	David W. Grawrock
		Group Art Unit	2131
		Examiner Name	Unassigned
Total Number of Pages in This Submission	4	Attorney Docket Number	42390P9844

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Request for Correction of Filing Receipt w/attachment</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	January 8, 2003

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 8, 2003			
Typed or printed name	Corrinn R. Reynolds		
Signature		Date	January 8, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Application Number	09/571,899
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	December 27, 2000
		First Named Inventor	David W. Grawrock
		Examiner Name	Unassigned
		Group/Art Unit	2131
		Attorney Docket No.	42390P9844

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																	
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The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account																																																																																																																																																																																																																																																																			
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unavoidable</td><td></td></tr><tr><td>1453</td><td>1,300</td><td></td><td>2453</td><td>650</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>1,300</td><td></td><td>2501</td><td>650</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>470</td><td></td><td>2502</td><td>235</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>630</td><td></td><td>2503</td><td>315</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>1460</td><td>130</td><td></td><td>2460</td><td>130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807</td><td>50</td><td></td><td>1807</td><td>50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806</td><td>180</td><td></td><td>1806</td><td>180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>8021</td><td>40</td><td></td><td>8021</td><td>40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>750</td><td></td><td>1809</td><td>375</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810</td><td>750</td><td></td><td>2810</td><td>375</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>1801</td><td>750</td><td></td><td>2801</td><td>375</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>900</td><td></td><td>1802</td><td>900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="7">Other fee (specify) _____</td><td></td></tr><tr><td colspan="7">* Reduced by Basic Filing Fee Paid</td><td></td></tr><tr><td colspan="7">SUBTOTAL (3)</td><td>(\$)</td></tr></tbody></table>		Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1051	130		2051	65		Surcharge - late filing fee or oath		1052	50		2052	25		Surcharge - late provisional filing fee or cover sheet		2053	130		2053	130		Non-English specification		1812	2,520		1812	2,520		For filing a request for <i>ex parte</i> reexamination		1804	920 *		1804	920 *		Requesting publication of SIR prior to Examiner action		1805	1,840 *		1805	1,840 *		Requesting publication of SIR after Examiner action		1251	110		2251	55		Extension for reply within first month		1252	410		2252	205		Extension for reply within second month		1253	930		2253	465		Extension for reply within third month		1254	1,450		2254	725		Extension for reply within fourth month		1255	1,970		2255	985		Extension for reply within fifth month		1404	320		2401	160		Notice of Appeal		1402	320		2402	160		Filing a brief in support of an appeal		1403	280		2403	140		Request for oral hearing		1451	1,510		2451	1,510		Petition to institute a public use proceeding		1452	110		2452	55		Petition to revive - unavoidable		1453	1,300		2453	650		Petition to revive - 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1802	900		1802	900		Request for expedited examination of a design application																																																																																																																																																																																																																																																													
Other fee (specify) _____																																																																																																																																																																																																																																																																			
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SUBTOTAL (3)							(\$)																																																																																																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	William W. Schaaf	Registration No. (Attorney/Agent)	39,018
Signature		Telephone	
		Date	01/08/03

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number	09/571,899
Filing Date	December 27, 2000
First Named Inventor	David W. Grawrock
Examiner Name	Unassigned
Group/Art Unit	2131
Attorney Docket No.	42390P9844

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(S)

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid
Total Claims	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	20**	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	X	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>
Independent Claims	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	3	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	X	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>
Multiple Dependent						

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple Dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

****or number previously paid, if greater. For Reissues, see below**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1404	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

William W. Schaaf

Registration No.
(Attorney/Agent)

39.018

Telephone

Signature

Date _____

01/08/03

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